

03-13-02

MP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of

Inventors: ALLEN

Appln. No.: 10/015,551

Filed: December 11, 2001

Title: Transgenic Mice Containing Brain-Specific
Membrane Anchored Protein Gene Disruptions

Docket/Order No.

R-227

Deposit Account No.

50-1271

Customer No.

26619

Date:

March 11, 2002

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:


1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

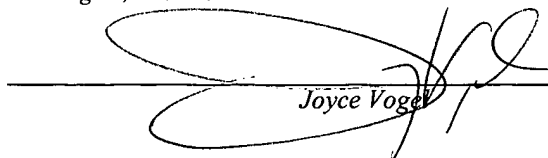
7. Basic Filing Fee	\$370.	\$370.00	201
8. Total Claims	42	minus 20 = 22	x 9. +198.00 203
9. Independent Claims	17	minus 3 = 14	x 42. +588.00 202
10. Multiple Dependent Claims	140.	+140.00	204
11. Surcharge for filing Declaration/filing fee late	65.	+ 65.00	205
12. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =	\$1,361.00		
13. Original due date: March 22, 2002			
14. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.	\$ 55. / 200. 460. / 720.	.00	215/216 217/218
15. If Assignment is included, add recording fee	\$ 40.	+ 40.00	581
16. TOTAL FEE ENCLOSED: =	\$1,401.00		

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

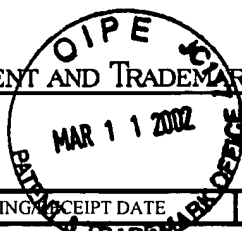
740 Bay Road
Redwood City, CA 94063
Tel: (650) 569-5100
Fax: (650) 569-5280
for JANE K. BABIN, Reg. No. 47,224Express Mail Label:
Date of Deposit:EV 007607356 US
March 11, 2002

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the above date, addressed to Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231


Joyce Vogel



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/015,551	12/11/2001	Keith D. Allen	R-227

CONFIRMATION NO. 4290

FORMALITIES LETTER



OC000000007336630

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063

Received
01/30/02
Due: 03/22/02
FNL: 08/22/02

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$908.
 - \$180 for 20 total claims over 20.
 - \$588 for 14 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1343.

04/09/2002 Y61ZAW 00000008 10015551

01 FC:203

180.00 OP

*A copy of this notice **MUST** be returned with the reply.*

03/18/2002 MBERHE 00000074 10015551

01 FC:201

02 FC:205

03 FC:203

04 FC:202

05 FC:204

370.00 OP

65.00 OP

198.00 OP

588.00 OP

140.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 04/09/2002 Y61ZAW
03/18/2002 MBERHE 00000074 10015551
03 FC:203 -198.00 OP

Repln. Ref: 04/09/2002 Y61ZAW 13525200
Date: 03/12/01 Name/Number: 10015551
FC: 704 \$18.00 CR